

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application No.

: (To Be Assigned)

Confirmation No. : (TBA)

First Named Inventor

: Lutz HEIDRICH

Filed

: May 6, 2005

TC/A.U. Examiner Docket No. : (To Be Assigned): (To Be Assigned)

: 095309.56264US

Customer No.

: 23911

Title

: Generator in Conjunction With an Externally Cooled

Rectifier

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § \$ 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

STATEMENT OF RELEVANCE

The relevance of reference AG to the subject matter of the present invention is given in the <u>Background and Summary of the Invention</u> in the specification of the present invention.

CONCISE EXPLANATION OF NON-ENGLISH LANGUAGE DOCUMENTS

An English abstract and a U.S. counterpart of References AF-AH are submitted herewith, including the U.S. equivalent of references AG and AH.

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JC06 Rec'd PCT/2TO 0.6 MAY 2005 Atty. Docket 1 095309.56264US

PATENT

Applicant also encloses herewith a copy of a corresponding International Search Report citing documents AA-AC, AI and AJ, indicating the degree of relevance found by the foreign office.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R.§ 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

May 6, 2005

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PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/PTO				Complete if Known			
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	INFORMATION DIS	SCLOS	JRE	Filing Date	May 6, 2005		
STATEMENT BY APPLICANT				First Named Inventor	Lutz HEIDRICH		
				Art Unit	(To Be Assigned)		
(use as many sheets as necessary)				Examiner Name	(To Be Assigned)		
Sheet	1	of	1	Attorney Docket Number	095309.56264US		

			U.S. PATENT	DOCUMENTS	
Examiner Initials'	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 2,588,156	03/04/1952	Ogilvy	ISR
	AB	US- 2,217,471	10/08/1940	Conrad	ISR
	AC	US- 4,616,693	10/14/1986	Dietzsch et al.	ISR
	AD	US- 6,285,100	09/04/2001	Pflueger et al.	Equivalent to DE 197 05 228
	AE	US- 6,291,912	09/18/2001	Nadir et al.	Equivalent to DE 198 81 158
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		FOREIC	N PATENT DO	CUMENTS		
		Foreign Patent Document	Publication		Pages, Columns, Lines, Where Relevant	
Examiner Initials'	Cite No. ¹	Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
	AF	DE 196 12 679 A1	10/09/1997	Temic Automotive Electric Motors GmbH		ABS
	AG	DE 197 05 228 A1	08/13/1998	Robert Bosch GmbH		ABS
	AH	DE 198 81 158 T1	10/14/1999	Valeo Climatisation		ABS
	Al	GB 644 587 A	10/11/1950	Leece Nevill Company		ISR
	AJ	GB 1 183 291 A	03/04/1970	Siemens		ISR

Examiner	Date	
Signature	Considered	<u>l </u>

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.